Circuit Analysis vis-à-vis Electromagnetic Field Analysis

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Centre of Research in Microwave Tubes Department of Electronics Engineering Institute of Technology Banaras Hindu University, Varanasi-221005 "For whatever may be said about the importance of aiming at depth rather than width in our studies, and however strong the demand of the present age may be for specialists, there will be work, not only for those who build up particular sciences and write monographs on them, but for those who open up such communications between the different groups of builders as will facilitate a healthy interaction between them."

- James Clerk Maxwell

J.C. Bose (1858-1937) at the Royal Institution, London, 1897



In 1895 Bose gave his first public demonstration of electromagnetic waves, using them to ring a bell remotely and to explode some gunpowder. In 1896 the Daily Chronicle of England reported: "The inventor (J.C. Bose) has transmitted signals to a distance of nearly a mile and herein lies the first and obvious and exceedingly valuable application of this new theoretical marvel."

"Popov in Russia was doing similar experiments, but had written in December 1895 that he was still entertaining the hope of remote signaling with radio waves."

"The first successful wireless signaling experiment by Marconi on Salisbury Plain in England was not until May 1897."

Source: D. T. Emerson, "The work of Jagadis Chunder Bose: 100 years of mm-wave research," *IEEE Trans. Microwave Th. Tech.* December 1997, 45, No. 12 (2267-2273)

There is only one nature — the division into science and engineering is a human imposition, not a natural one. Indeed, the division is a human failure; it reflects our limited capacity to comprehend the whole.

- Sir William Cecil Dampier

Electromagnetic theory \rightarrow Science Circuit theory \rightarrow Engineering

Maxwell's equations

 $\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$

$\nabla . \vec{D} = \rho$	"Simple enough to imprint on
	a T-shirt, and yet rich enough
$\nabla \cdot \vec{B} = 0$	to provide new insights
	throughout a lifetime of study"
$\nabla imes \vec{H} = \vec{J}$	

"The teaching of Electromagnetics," *IEEE Trans. Education*, Vol. 33, pp. 3-7 (1990)

Whinnery's T-shirt has enough space to accommodate both Maxwell's equations and electromagnetic boundary conditions

$$\nabla . \vec{D} = \rho$$
$$\nabla . \vec{B} = 0$$
$$\nabla \times \vec{H} = \vec{J}$$
$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

 $(\vec{D}_2 - \vec{D}_1).\vec{a}_n = \rho_S$ $(\vec{B}_2 - \vec{B}_1).\vec{a}_n = 0$ $\vec{a}_n \times (\vec{H}_2 - \vec{H}_1) = \vec{J}_s$ $\vec{a}_n \times (\vec{E}_2 - \vec{E}_1) = 0$

General boundary conditions

 $\rho_s = Lt\rho dh$ $dh \to 0$

$$(\vec{D}_2 - \vec{D}_1).\vec{a}_n = \rho_S$$

$$(\vec{B}_2 - \vec{B}_1).\vec{a}_n = 0$$

$$\vec{a}_n \times (\vec{H}_2 - \vec{H}_1) = \vec{J}_s$$

$$\vec{J}_S = Lt\vec{J}dh$$

$$\vec{a}_n \times (\vec{E}_2 - \vec{E}_1) = 0$$

$$dh \to 0$$

Dielectric (1)-dielectric (2) interface

Both time-dependent and time-independent

 $(\vec{D}_{2} - \vec{D}_{1}).\vec{a}_{n} = 0$ $(\vec{B}_{2} - \vec{B}_{1}).\vec{a}_{n} = 0$ $\vec{a}_{n} \times (\vec{H}_{2} - \vec{H}_{1}) = 0$ $\vec{a}_{n} \times (\vec{E}_{2} - \vec{E}_{1}) = 0$ Conductor (1)-dielectric (2) interface

Time-independent

Time-dependent

$$\vec{D}_2 \cdot \vec{a}_n = \rho_s \qquad \qquad \vec{D}_2 \cdot \vec{a}_n = \rho_s \\ (\vec{B}_2 - \vec{B}_1) \cdot \vec{a}_n = 0 \qquad \qquad \vec{B}_2 \cdot \vec{a}_n = 0 \\ \vec{a}_n \times (\vec{H}_2 - \vec{H}_1) = 0 \qquad \qquad \vec{a}_n \times \vec{H}_2 = \vec{J}_s \\ \vec{a}_n \times \vec{E}_2 = 0 \qquad \qquad \vec{a}_n \times \vec{E}_2 = 0$$

Electromagnetic theory and circuit theory are the two sides of the same coin

<u>Electromagnetic theory</u>

Circuit theory

 $\vec{J} = \sigma \vec{E}$ Ohm's law V = IR

 $\vec{S} = \vec{E} \times \vec{H}$ Poynting vector

Joule's law

 $I^2 R$ power loss

 $\vec{a}_n \times (E_2 - E_1) = 0$ Law of parallel resistances $\frac{1}{R_{\text{equivalent}}} = \frac{1}{R_1} + \frac{1}{R_2}$ Electromagnetic boundary condition

Electromagnetic theory

<u>Circuit theory</u>

Dispersion relation of a hollow-pipe waveguide



Electromagnetic theory

Circuit theory



(dispersion relation of a helix in free-space)

Ohm's Law









A is the cross-sectional area of the linear conductor (a piece of wire offering a resistance to the current flow)

$$V = \frac{I/A}{\sigma}l = \frac{I}{\alpha A}l = I\frac{1}{\sigma}\frac{l}{A} = IR$$

$$----- \frac{1}{\sigma}\frac{l}{A} = R$$

V = IR

(Ohm's circuital law)

Joule's Law





Joule's circuit loss $= I^2 R$

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Circuit law of parallel resistances with the help of the boundary condition that the tangential component of electric field is continuous at the interface between two media

For this purpose, the said boundary condition is applied at the interface between two rectangular conducting slabs in contact of the same length *l*, conductivities σ_1 and σ_2 and cross-sectional areas A_1 and A_2 respectively.



Current densities J_1 and J_2 are related to electric fields E_1 and E_2 in the slabs through Ohm's law while the current *I* fed into the slabs in contact is divided in currents I_1 and I_2 through the slabs.



 E_1 and E_2 , which are tangential at the interface, are continuous at the interface:





(Law of parallel resistances)

We have seen that the circuit theory concepts, namely, Ohm's law, Joule's law and law of parallel resistances can be appreciated by electromagnetic field theory as well.

Will electromagnetic field theory and circuit theory yield one and the same dispersion relation of a hollow-pipe waveguide?

Will electromagnetic field theory and circuit theory yield one and the same dispersion relation of a helix used as a slow-wave structure of a travelling-wave tube? ?

Transmission-line equivalent of a rectangular waveguide excited typically in the TE modes

E.C Jordan: Electromagnetic wave and Radiating Systems. Prentice-Hall of India, New Delhi, 1986. Chapter 8.

B.N. Basu: Engineering Electromagnetics Essentials. Universities Press, Hyderabad, 2015. Chapters 9 and 10.

Rectangular waveguide

The TE mode is also known as the H mode since it is associated with a non-zero value of the axial magnetic field:

The TM mode is also known as the E mode since it is associated with a non-zero value of the axial electric field:

Wave equations

$$\frac{\partial^2 H_z}{\partial x^2} + \frac{\partial^2 H_z}{\partial y^2} + \frac{\partial^2 H_z}{\partial z^2} = \mu_0 \varepsilon_0 \frac{\partial^2 H_z}{\partial t^2} \quad (H_z \neq 0, E_z = 0) \quad (\text{TE mode})$$

$$\frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} + \frac{\partial^2 E_z}{\partial z^2} = \mu_0 \varepsilon_0 \frac{\partial^2 E_z}{\partial t^2} \quad (E_z \neq 0, H_z = 0) \quad (\text{TM mode})$$
Rectangular waveguide with its right side wall located at $x = 0$; left side at $x = a$; bottom wall at $y = b$.



Equivalent transmission-line circuit representation for TM waves.



Equivalent transmission-line circuit representation for TE waves.

E.C Jordan: Electromagnetic wave and Radiating Systems. Prentice-Hall of India, New Delhi, 1986. Chapter 8.



Equivalent transmission-line circuit representation for TE waves.

E.C Jordan: Electromagnetic wave and Radiating Systems. Prentice-Hall of India, New Delhi, 1986. Chapter 8, p.266.

Field quantities varying as $\exp j(\omega t - \beta z)$

 μ and ε may be taken as μ_0 and ε_0 , respectively.

Rectangular waveguide

Wave equations

$$\frac{\partial^2 H_z}{\partial x^2} + \frac{\partial^2 H_z}{\partial y^2} + \frac{\partial^2 H_z}{\partial z^2} = \mu_0 \varepsilon_0 \frac{\partial^2 H_z}{\partial t^2} \quad (H_z \neq 0, E_z = 0) \quad (\text{TE mode})$$

$$\frac{\partial^2 E_z}{\partial x^2} + \frac{\partial^2 E_z}{\partial y^2} + \frac{\partial^2 E_z}{\partial z^2} = \mu_0 \varepsilon_0 \frac{\partial^2 E_z}{\partial t^2} \quad (E_z \neq 0, H_z = 0) \quad (\text{TM mode})$$

Rectangular waveguide with its right side wall located at x = 0; left side at x = a; bottom wall at y = 0and top wall at y = b.



$$\frac{\partial^{2}H_{z}}{\partial x^{2}} + \frac{\partial^{2}H_{z}}{\partial y^{2}} + \frac{\partial^{2}H_{z}}{\partial z^{2}} = \mu_{0}\varepsilon_{0}\frac{\partial^{2}H_{z}}{\partial t^{2}} \quad (H_{z} \neq 0, E_{z} = 0) \text{ (TE mode)}$$
(wave equation)
Field quantities
varying as
exp $j(\omega t - \beta z)$
Rectangular waveguide with its
right side wall located at $x = 0$; left
side at $x = a$; bottom wall at $y = 0$
and top wall at $y = 0$
and top wall at $y = b$.

$$\frac{\partial^{2}H_{z}}{\partial x^{2}} + \frac{\partial^{2}H_{z}}{\partial y^{2}} - \beta^{2}H_{z} = -\mu_{0}\varepsilon_{0}\omega^{2}H_{z}$$

$$\downarrow$$

$$k = \omega(\mu_{0}\varepsilon_{0})^{1/2}$$
(free - space
propagatio n constant)

$$\frac{\partial^{2}H_{z}}{\partial t^{2}} = (-j\beta)(-j\beta)H_{z} = j^{2}\beta^{2}H_{z} = -\beta^{2}H_{z}$$

$$\frac{\partial^{2}H_{z}}{\partial t^{2}} = (j\omega)(j\omega)H_{z} = j^{2}\omega^{2}H_{z} = -\omega^{2}H_{z}$$

$$\frac{\partial^{2}H_{z}}{\partial t^{2}} = (j\omega)(j\omega)H_{z} = j^{2}\omega^{2}H_{z} = -\omega^{2}H_{z}$$
(wave equation)
(wave equation)
(wave equation)
(wave equation)

Transmission line equivalent of a waveguide



$$\frac{\partial U}{\partial z} = \left(\frac{\omega^2 \mu_0 \varepsilon_0}{k^2 - \beta^2} - 1\right) H_z \text{ (rewritten)} \qquad \longleftarrow \qquad h^2 = k^2 - \beta^2$$

$$\downarrow$$

$$\frac{\partial U}{\partial z} = \left(\frac{\omega^2 \mu_0 \varepsilon_0}{h^2} - 1\right) H_z$$

$$\downarrow$$

$$\frac{\partial U}{\partial z} = -\left(\frac{h^2}{j\omega\mu_0} + j\omega\varepsilon_0\right)\left(\frac{j\omega\mu_0}{h^2}\right)H_z$$

(to be recalled later)



 $\left| \frac{\partial}{\partial z} \frac{j \omega \mu_0}{h^2} H_z = -j \omega \mu_0 U \right| \text{ (to be recalled later)}$





$$\frac{\partial V_1}{\partial z} = -ZI_1$$

$$\frac{\partial}{\partial z} \frac{j\omega\mu_0}{h^2} H_z = -j\omega\mu_0 U \text{ (recalled)}$$

$$h^2 = k^2 - \beta^2$$

$$V_1 = \frac{j\omega\mu_0}{h^2} H_z$$

$$I_1 = U$$

$$Z = j\omega\mu_0$$

U has the dimension of current and $j\omega\mu_0$ / h^2 has the dimension of voltage

TE mode:



Waveguide dispersion relation

The waveguide cutoff frequency corresponds to Y = 0

$$\omega^2 - \beta^2 c^2 - \omega_c^2 = 0$$

(waveguide dispersion relation)



Characteristic impedance of the transmission line equivalent of a waveguide



(dispersion relation) $\left| Y = \frac{h^2}{j\omega\mu_0} + j\omega\varepsilon_0 \right|$ $Z = j\omega\mu_0$ $\omega^2 - \beta^2 c^2 = \omega^2$ $Z_0 = \sqrt{\frac{Z}{Y}} = \sqrt{\frac{j\omega\mu_0}{\frac{h^2}{j\omega\mu_0} + j\omega\varepsilon_0}} = \sqrt{\frac{j\omega\mu_0}{j\omega\varepsilon_0(\frac{h^2}{j\omega\mu_0}\frac{1}{j\omega\varepsilon_0} + 1)}} \quad \longleftarrow \quad h^2 = k^2 - \beta^2$ $Z_{0} = \sqrt{\frac{\mu_{0}}{\varepsilon_{0}}} \sqrt{\frac{1}{1 - \frac{h^{2}}{\omega^{2} \mu_{0} \varepsilon_{0}}}} = \sqrt{\frac{\mu_{0}}{\varepsilon_{0}}} \sqrt{\frac{1}{1 - \frac{k^{2} - \beta^{2}}{\omega^{2} \mu_{0} \varepsilon_{0}}}}$ $\frac{k^2c^2 = \omega^2}{\omega^2 - \beta^2 c^2 = \omega_c^2}$ $Z_0 = \sqrt{\frac{\mu_0}{\varepsilon_0}} \sqrt{\frac{1}{1 - \frac{k^2 c^2 - \beta^2 c^2}{|\omega|^2}}} = \sqrt{\frac{\mu_0}{\varepsilon_0}} \sqrt{\frac{1}{1 - \frac{\omega^2 - (\omega^2 - \omega_c^2)}{\omega^2}}}$ $Z_0 = \sqrt{\frac{\mu_0}{\varepsilon_0}} \sqrt{\frac{1}{1 - (\omega_0^2 / \omega^2)}}$ (characteristic impedance of the transmission line equivalent of a waveguide) 32

Transmission-line equivalent of a helical slow-wave structure

B.N. Basu, "Electromagnetic Theory and Applications in Beam-Wave Electronics," World Scientific Publishing Co. Inc., Singapore, New Jersey, London, Hong Kong (1996).

Helical slow-wave structure of a travelling-wave tube

- Electromagnetic field Analysis
- Equivalent circuit analysis



Sheath-helix model

Actual helix replaced by a circular cylindrical sheath that has

Infinitesimal thickness

- Radius equal to the mean radius of the actual helix of a finite thickness
- Anisotropic conductivity: infinite conductivity and zero conductivity in directions parallel and perpendicular to the helix winding direction, respectively $\left|\frac{\lambda_g}{\dots}\right| >> 1$

Valid for large number of turns per guide wave length:

$$\frac{v_p}{c} = \frac{p}{2\pi a} \qquad v_p = f\lambda_g \qquad c = f\lambda \qquad \tan \psi = \frac{p}{2\pi a}$$

$$\frac{\lambda_g}{p} \gg 1$$

$$\frac{\lambda_g}{2\pi a} \gg 1$$

p



$$\vec{a}_{\theta} \times \vec{a}_{z} = \vec{a}_{r}$$
$$\vec{a}_{\prime\prime} \times \vec{a}_{\perp} = \vec{a}_{r}$$

$$\nabla^2 E_z - \mu_0 \varepsilon_0 \frac{\partial^2 E_z}{\partial t^2} = 0$$

$$\nabla^2 H_z - \mu_0 \varepsilon_0 \frac{\partial^2 H_z}{\partial t^2} = 0$$

$$\left(\frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} + \frac{\partial^2}{\partial z^2} - \mu_0 \varepsilon_0 \frac{\partial^2}{\partial t^2}\right) (E_z, H_z) = 0$$

$$\left(\frac{\partial^2}{\partial r^2} + \frac{1}{r}\frac{\partial}{\partial r}\right) (E_z, H_z) - (\beta^2 - \omega^2 \mu_0 \varepsilon_0) (E_z, H_z) = 0$$

$$\left(\frac{\partial^2}{\partial r^2} + \frac{1}{r}\frac{\partial}{\partial r}\right) (E_z, H_z) - \gamma^2 (E_z, H_z) = 0$$

$$\gamma = \sqrt{\beta^2 - k^2}$$
$$k = \omega \sqrt{\mu_0 \varepsilon_0} = \frac{\omega}{c}$$

RF quantities vary as

$$\exp j(\omega t - \beta z)$$

$$\frac{\partial}{\partial \theta} = 0 \quad \text{(non-azimuthally} \\ \text{varying mode)} \\ \gamma^2 = \beta^2 - \omega^2 \mu_0 \varepsilon_0$$

$$c = \frac{1}{\sqrt{\mu_0 \varepsilon_0}}$$

$$\omega^2 \mu_0 \varepsilon_0 = \frac{\omega^2}{c^2} = k^2$$

$$\gamma^2 = \beta^2 - \omega^2 \mu_0 \varepsilon_0 = \beta^2 - \frac{\omega^2}{c^2} = \beta^2 - k^2$$

$$\left(\frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r}\right) (E_z, H_z) - \gamma^2 (E_z, H_z) = 0$$

Field expressions

$$E_{z} = AI_{0}(\gamma r) + BK_{0}(\gamma r)$$
$$H_{z} = CI_{0}(\gamma r) + DK_{0}(\gamma r)$$

$\gamma = \sqrt{\beta^2 - k^2}$

For slow waves: $v_p < c$ $\beta = \frac{\omega}{v_p}$ $\frac{\omega}{v_p} > \frac{\omega}{c}$ $\beta > k$

With the help of Maxwell's equations

$$E_{\theta} = -\frac{j\omega\mu_0}{\gamma} [CI_1(\gamma r) - DK_1(\gamma r)]$$
$$i\omega\varepsilon_0$$

$$H_{\theta} = \frac{J \partial \mathcal{E}_0}{\gamma} [AI_1(\gamma r) - BK_1(\gamma r)]$$

$$E_{r} = \frac{j\beta}{\gamma} [AI_{1}(\gamma r) - BK_{1}(\gamma r)]$$
$$H_{r} = \frac{j\beta}{\gamma} [CI_{1}(\gamma r) - DK_{1}(\gamma r)]$$

 $I_{0}(x): \text{zeroth order modified Bessel function of the first kind}$ $K_{0}(x): \text{zeroth order modified Bessel function of the second kind}$ $I_{1}(x): \text{first order modified Bessel function of the first kind}$ $K_{1}(x): \text{first order modified Bessel function of the second kind}$ $I'_{0}(x) = I_{1}(x)$ $K'_{0}(x) = -K_{1}(x)$ $\gamma = \sqrt{\beta^{2} - k^{2}}$ $k = \omega \sqrt{\mu_{0}\varepsilon_{0}} = \frac{\omega}{c}$

Field expressions

 $E_{z1} = A_1 I_0(\gamma r) + B_1 K_0(\gamma r)$ $H_{z1} = C_1 I_0(\gamma r) + D_1 K_0(\gamma r)$

$$E_{\theta 1} = -\frac{j\omega\mu_0}{\gamma} [C_1 I_1(\gamma r) - D_1 K_1(\gamma r)]$$
$$H_{\theta 1} = \frac{j\omega\varepsilon_0}{\gamma} [A_1 I_1(\gamma r) - B_1 K_1(\gamma r)]$$

 $K_0(0) \rightarrow \infty$ $B_1 = 0$ $D_1 = 0$

$$\begin{split} E_{z2} &= A_2 I_0(\gamma r) + B_2 K_0(\gamma r) \\ H_{z2} &= C_2 I_0(\gamma r) + D_2 K_0(\gamma r) \\ E_{\theta 2} &= -\frac{j \omega \mu_0}{\gamma} [C_2 I_1(\gamma r) - D_2 K_1(\gamma r)] \\ H_{\theta 2} &= \frac{j \omega \varepsilon_0}{\gamma} [A_2 I_1(\gamma r) - B_2 K_1(\gamma r)] \\ I_0(\infty) \to \infty \end{split}$$

$$A_{2} = 0$$

 $C_{2} = 0$

Field expressions

$$B_1 = 0$$

 $D_1 = 0$
 $A_2 = 0$
 $C_2 = 0$

$$\begin{split} E_{z1} &= A_1 I_0(\gamma r) \\ H_{z1} &= C_1 I_0(\gamma r) \\ E_{\theta 1} &= -\frac{j \omega \mu_0}{\gamma} C_1 I_1(\gamma r) \\ H_{\theta 1} &= \frac{j \omega \varepsilon_0}{\gamma} A_1 I_1(\gamma r) \end{split} \qquad \begin{aligned} E_{z2} &= B_2 K_0(\gamma r) \\ H_{z2} &= D_2 K_0(\gamma r) \\ E_{\theta 2} &= \frac{j \omega \mu_0}{\gamma} D_2 K_1(\gamma r) \\ H_{\theta 2} &= \frac{-j \omega \varepsilon_0}{\gamma} B_2 K_1(\gamma r) \end{aligned}$$

 A_1, C_1, B_2, D_2 : four non-zero field constants

Boundary conditions at the mean helix radius = sheath-helix radius r = a

For electromagnetic field analysis

For circuit analysis

$$E_{z1} = E_{z2}$$

 $E_{\theta 1} \cos \psi + E_{z1} \sin \psi = 0$

 $E_{\theta 2} \cos \psi + E_{z2} \sin \psi = 0$

$$H_{\theta 1} \cos \psi + H_{z1} \sin \psi = H_{\theta 2} \cos \psi + H_{z2} \sin \psi$$

$$E_{z1} = E_{z2}$$
$$E_{\theta 1} = E_{\theta 2}$$
$$H_{\theta 2} - H_{\theta 1} = \frac{I_z}{2\pi a}$$

$$H_{z1} - H_{z2} = \frac{I_{\theta}}{2\pi a}$$

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Electromagnetic Field analysis

Boundary conditions at the sheathhelix radius r = a: $E_{\mu\gamma}\cos\psi + E_{\gamma\gamma}\sin\psi = 0$ $H_{\theta_1}\cos\psi + H_{z_1}\sin\psi = H_{\theta_2}\cos\psi + H_{z_2}\sin\psi$ $E_{\theta 1} \cos \psi + E_{z1} \sin \psi = 0$ $E_{z1} = E_{z2}$ $\frac{J\omega\mu_0}{\gamma}D_2K_1(\gamma a)\cos\psi + B_2K_0(\gamma a)\sin\psi = 0$ $\frac{J\omega\varepsilon_0}{\gamma}A_1I_1(\gamma a)\cos\psi + C_1I_0(\gamma a)\sin\psi +$ $\frac{j\omega\varepsilon_0}{\gamma}B_2K_1(\gamma a)\cos\psi - D_2K_0(\gamma a)\sin\psi = 0$

$$-\frac{j\omega\mu_0}{\gamma}C_1I_1(\gamma a)\cos\psi + A_1I_0(\gamma a)\sin\psi = 0$$

$$A_1 I_0(\gamma a) E_{z2} - B_2 K_0(\gamma a) = 0$$

$$E_{z1} = A_{1}I_{0}(\gamma r)$$

$$H_{z1} = C_{1}I_{0}(\gamma r)$$

$$E_{\theta 1} = -\frac{j\omega\mu_{0}}{\gamma}C_{1}I_{1}(\gamma r)$$

$$H_{\theta 1} = \frac{j\omega\varepsilon_{0}}{\gamma}A_{1}I_{1}(\gamma r)$$

$$E_{z2} = B_{2}K_{0}(\gamma r)$$

$$H_{z2} = D_{2}K_{0}(\gamma r)$$

$$H_{\theta 2} = \frac{j\omega\mu_{0}}{\gamma}D_{2}K_{1}(\gamma r)$$

$$H_{\theta 2} = \frac{-j\omega\varepsilon_{0}}{\gamma}B_{2}K_{1}(\gamma r)$$

$$a_{11}A_{1} + a_{12}C_{1} + a_{13}B_{2} + a_{14}D_{2} = 0$$

$$a_{21}A_{1} + a_{22}C_{1} + a_{23}B_{2} + a_{24}D_{2} = 0$$

$$a_{31}A_{1} + a_{32}C_{1} + a_{33}B_{2} + a_{34}D_{2} = 0$$

$$a_{41}A_{1} + a_{42}C_{1} + a_{43}B_{2} + a_{44}D_{2} = 0$$

$$a_{11} = 0, a_{12} = 0, a_{13} = K_0(\gamma a) \sin \psi$$
$$a_{14} = \frac{j\omega\mu_0}{\gamma} K_1(\gamma a) \cos \psi$$

$$a_{21} = \frac{j\omega\varepsilon_0}{\gamma} I_1(\gamma a) \cos\psi, \ a_{22} = I_0(\gamma a) \sin\psi$$

$$a_{23} = \frac{j\omega\varepsilon_0}{\gamma} K_1(\gamma a) \cos\psi, \ a_{24} = -K_0(\gamma a) \sin\psi$$

$$a_{31} = I_0(\gamma a) \sin \psi, \ a_{32} = -\frac{j\omega\mu_0}{\gamma} I_1(\gamma a) \cos \psi$$
$$a_{33} = 0, \ a_{34} = 0$$
$$a_{41} = I_0(\gamma a), \ a_{42} = 0, \ a_{43} = -K_0(\gamma a), \ a_{44} = 0$$

$$\frac{j\omega\mu_0}{\gamma}D_2K_1(\gamma a)\cos\psi + B_2K_0(\gamma a)\sin\psi = 0$$

$$\frac{j\omega\varepsilon_0}{\gamma}A_1I_1(\gamma a)\cos\psi + C_1I_0(\gamma a)\sin\psi + \frac{j\omega\varepsilon_0}{\gamma}B_2K_1(\gamma a)\cos\psi - D_2K_0(\gamma a)\sin\psi = 0$$

$$-\frac{j\omega\mu_0}{\gamma}C_1I_1(\gamma a)\cos\psi + A_1I_0(\gamma a)\sin\psi = 0$$
$$A_1I_0(\gamma a) - B_2K_0(\gamma a) = 0$$

$$\begin{vmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{vmatrix} = 0$$

(condition for non-trivial solution)

$$\begin{aligned} a_{11} &= 0, a_{12} = 0, a_{13} = K_0(\gamma a) \sin \psi, \\ a_{14} &= \frac{j \omega \mu_0}{\gamma} K_1(\gamma a) \cos \psi, \\ a_{21} &= \frac{j \omega \varepsilon_0}{\gamma} I_1(\gamma a) \cos \psi, a_{22} = I_0(\gamma a) \sin \psi, \\ a_{23} &= \frac{j \omega \varepsilon_0}{\gamma} K_1(\gamma a) \cos \psi, a_{24} = -K_0(\gamma a) \sin \psi, \\ a_{31} &= I_0(\gamma a) \sin \psi, a_{32} = -\frac{j \omega \mu_0}{\gamma} I_1(\gamma a) \cos \psi, \\ a_{33} &= 0, a_{34} = 0, \\ a_{41} &= I_0(\gamma a), a_{42} = 0, a_{43} = -K_0(\gamma a), a_{44} = 0. \end{aligned}$$

$$\begin{vmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{vmatrix} = 0$$

$$I_{0}(x)K_{1}(x) + K_{0}(x)I_{1}(x) = \frac{1}{x} \qquad (x = \gamma a)$$
$$\gamma^{2} = \beta^{2} - \omega^{2}\mu_{0}\varepsilon_{0} = \beta^{2} - \frac{\omega^{2}}{c^{2}} = \beta^{2} - k^{2}$$

$$\gamma = \sqrt{\beta^2 - k^2}$$
 $k = \omega \sqrt{\mu_0 \varepsilon_0} = \frac{\omega}{c}$ $\beta = \frac{\omega}{v_p}$



(electromagnetic field analysis)

$$\frac{k\cot\psi}{\gamma}\gamma a = ka\cot\psi$$

$$\frac{v_p}{c}\cot\psi \approx \left(\frac{I_0(\gamma a)K_0(\gamma a)}{I_1(\gamma a)K_1(\gamma a)}\right)^{1/2}$$



Equivalent circuit analysis of a helix

Treating the helix as an equivalent transmission line with distributed line parameters *L* and *C*



$$E_{za} = j(\frac{\beta^2 - \omega^2 \mu_0 \varepsilon_0}{\beta})V = j(\frac{\beta^2 - k^2}{\beta})V \quad \longleftarrow \quad \gamma^2 = \beta^2 - k^2$$

$$\downarrow$$

$$E_{za} = \frac{j\gamma^2}{\beta}V \text{ (to be recalled)}$$



Inductance per unit length L

Boundary conditions at the sheathhelix radius r = a:



$$E_{\theta a} = -\frac{j\omega\mu_0}{\gamma}\gamma aI_1(\gamma a)K_1(\gamma a)\frac{I_{\theta a}}{2\pi a}$$



$$E_{\theta a} = -\frac{j\omega\mu_0}{\gamma} \gamma a I_1(\gamma a) K_1(\gamma a) \frac{I_{\theta a}}{2\pi a}$$
$$E_{za} = \frac{j\gamma^2}{\beta} V$$
$$E_{\theta a} \cos \psi + E_{za} \sin \psi = 0$$
$$-I_{\theta a} \sin \psi + I_{za} \cos \psi = 0$$
$$I_0(x) K_1(x) + K_0(x) I_1(x) = \frac{1}{x} \quad (x = \gamma a)$$

$$C = \frac{2\pi\varepsilon_{0}}{I_{0}(\gamma a)K_{0}(\gamma a)}$$

$$L = \frac{\mu_{0}}{2\pi} (\frac{\beta}{\gamma})^{2} \cot^{2} \psi I_{1}(\gamma a)K_{1}(\gamma a)$$

$$\beta^{2} = \omega^{2}LC$$

$$\downarrow$$

$$\frac{k \cot \psi}{\gamma} = \left(\frac{I_{0}(\gamma a)K_{0}(\gamma a)}{I_{1}(\gamma a)K_{1}(\gamma a)}\right)^{1/2}$$

$$\frac{k \cot \psi}{\gamma} = \left(\frac{I_{0}(\gamma a)K_{0}(\gamma a)}{I_{1}(\gamma a)K_{1}(\gamma a)}\right)^{1/2}$$

(dispersion relation derived by equivalent circuit analysis) (dispersion relation derived by electromagnetic field analysis)

(derived earlier)

The two approaches of analysis yield one and the same dispersion relation of a helical structure!

Electromagnetic field analysis	Equivalent circuit analysis	
Ohm's electromagnetic law	Ohm's circuital law	
$\vec{J} = \sigma \vec{E}$	$V_A - V_B = IR$	
Poynting vector	Joule's circuit loss	
$\vec{S} = \vec{E} \times \vec{H}$	I^2R	
Electromagnetic boundary condition at the interface between two conducting media	Law of parallel resistances	
$\vec{a}_n \times (E_2 - E_1) = 0$	$\frac{1}{R_{\text{equivalent}}} = \frac{1}{R_1} + \frac{1}{R_2}$	
Waveguide dispersion relation	Waveguide dispersion relation	
$\omega^2 - \beta^2 c^2 - \omega_c^2 = 0$	$\omega^2 - \beta^2 c^2 - \omega_c^2 = 0$	
Dispersion relation of a helix in free space	Dispersion relation of a helix in free space	
$\frac{k\cot\psi}{\gamma} = \left(\frac{I_0(\gamma a)K_0(\gamma a)}{I_1(\gamma a)K_1(\gamma a)}\right)^{1/2}$	$\frac{k\cot\psi}{\gamma} = \left(\frac{I_0(\gamma a)K_0(\gamma a)}{I_1(\gamma a)K_1(\gamma a)}\right)^{1/2}$	
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Concluding Remarks

The circuit concepts can be developed from the corresponding field concepts. Thus, Ohm's law in circuit theory expressed in terms of voltage, current and resistance can be derived from Ohm's law in electromagnetic theory expressed in terms of current density, electric field and material conductivity. Joule's power loss expression in circuit theory in terms of circuit current and circuit resistance can be derived from Poynting theorem of electromagnetic theory. Further, one of the electromagnetic boundary conditions at the interface between two media can be used to easily appreciate the law of parallel resistances of circuit theory. On the same note, the behavior of interaction structures of vacuum electron devices can be described by either of the field and circuit analytical concepts. These two theoretical concepts yield one and the same dispersion relation of a hollow-pipe waveguide which can be made to support either a TE or a TM fast waveguide-mode. Similarly, these two concepts yield one and the same dispersion relation of helix which supports hybrid TE and TM slow waveguidemodes. The circuit analysis enjoys the simplicity in that it handles at a time half the number of boundary conditions than the field analysis.

We can find, with the help of circuit analysis, the characteristic impedance of an interaction structure, which is a parameter of relevance from the standpoint of the impedance matching of the structure with the RF couplers which couple power in and out of the structure. On the other hand, We can find, with the help of electromagnetic field analysis, the interaction impedance of an interaction structure, which is a parameter of relevance from the standpoint of the device gain and efficiency.

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Thank you!